(1600)

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/938,330 ANSMITTAL **Filing Date** 08/22/01 APR 1 1 2002 First Named Inventor Walke Group Art Unit 1645 all correspondence after initial filing) **Examiner Name** To Be Assigned Total Number of Pages in This 11 + 7Attorney Docket Number LEX-0221-USA Submission references ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) (please Extension of Time Request identify below): Change of Correspondence Address return postcard Express Abandonment Request Terminal Disclaimer PTO 1449 and References Copy of international search report Supplemental Information Request for Refund Disclosure Statement Certified Copy of Priority CD, Number of CD(s) Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Lance K. Ishimoto Reg. No. 41,866 Individual name Lexicon Genetics Incorporated Peter G. Seseriano Signature Kene K / Shust by Date April 8, 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box DD, P.O. Box 2327, Arlington, VA April 8, 2002 22202 on this date: Nancy Stacey Typed or printed name Signature Date April 8, 2002

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Walke et al.

Application No.: 09/938,330

Filed: 08/22/01

Title: Novel Human Proteases and Polynucleotides

Encoding the Same

Attorney Docket No.: LEX-0221-USA

Group Art Unit: 1645

Jnit: 1645
To Be Assigned 2900 Examiner:

Commissioner for Patents Washington, D.C. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Supplemental Information Disclosure Statement is submitted:

X	under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with either a:  Certification under 37 CFR 1.97(e), or  a \$240.00 fee under 37 CFR 1.17(p), or  (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a:  Certification under 37 CFR 1.97(e), and a petition under 37 CFR 1.97(d)(2)(ii), and a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references are that they were cited in a communication from a foreign patent office in a counterpart foreign application corresponding to the above-identified application.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

<u>April 8, 2002</u> Date

Lance K. Ishimoto

Reg. No. 41,866

LEXICON GENETICS INCORPORATED (281) 863-3333

PATENT\_TRADEMARK OFFICE